

10/19/98

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U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTORNEY'S DOCKET NUMBER	
TRANSMITTAL LETTER TO THE UNITED STATES		P66 4 3	
DESIGNATED/ELECTED OFFICE (DO/EO/US)		U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR	
CONCERNING A FILING UNDER 35 U.S.C. 371		09/171558	
INTERNATIONAL APPLICATION NO.	INTERNATIONAL FILING DATE	PRIORITY DATE CLAIMED	
PCT/DE97/00800	18/04/97	19/04/1996	
TITLE OF INVENTION			
Chromium(VI)-Free Conversion Layer and Method for Producing It			
APPLICANT(S) FOR DO/EO/US			
Patricia Preikschat, Rolf Jansen, and Peter Hulser			
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:			
<div><div>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</div><div>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</div><div>3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).</div><div>4. <input checked="" type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.</div><div>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371 (c) (2))<div><div>a. <input checked="" type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau).</div><div>b. <input type="checkbox"/> has been transmitted by the International Bureau.</div><div>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</div></div></div><div>6. <input checked="" type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)).</div><div>7. <input checked="" type="checkbox"/> A copy of the International Search Report (PCT/ISA/210).</div><div>8. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))<div><div>a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau).</div><div>b. <input type="checkbox"/> have been transmitted by the International Bureau.</div><div>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</div><div>d. <input type="checkbox"/> have not been made and will not be made.</div></div></div><div>9. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</div><div>10. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).</div><div>11. <input checked="" type="checkbox"/> A copy of the International Preliminary Examination Report (PCT/IPEA/409).</div><div>12. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).</div></div>			
Items 13 to 18 below concern document(s) or information included:			
<div><div>13. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</div><div>14. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</div><div>15. <input type="checkbox"/> A <b>FIRST</b> preliminary amendment.<div>A <b>SECOND</b> or <b>SUBSEQUENT</b> preliminary amendment.</div></div><div>16. <input type="checkbox"/> A substitute specification.</div><div>17. <input type="checkbox"/> A change of power of attorney and/or address letter.</div><div>18. <input checked="" type="checkbox"/> Certificate of Mailing by Express Mail</div><div>19. <input checked="" type="checkbox"/> Other items or information:</div></div>			
<div><div><input checked="" type="checkbox"/> PTO - Form 1449</div><div><input checked="" type="checkbox"/> Please cancel claims 2-28 without prejudice.</div></div>			

20. The following fees are submitted: <b>BASIC NATIONAL FEE ( 37 CFR 1.492 (a) (1) - (5)) :</b>				<b>CALCULATIONS   PTO USE ONLY</b>	
<input type="checkbox"/> Search Report has been prepared by the EPO or JPO ..... <b>\$930.00</b> <input type="checkbox"/> International preliminary examination fee paid to USPTO (37 CFR 1.482) ..... <b>\$720.00</b> <input type="checkbox"/> No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)) ..... <b>\$790.00</b> <input checked="" type="checkbox"/> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2) paid to USPTO ..... <b>\$1,070.00</b> <input type="checkbox"/> International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4) ..... <b>\$98.00</b>					
<b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>				<b>\$1,070.00</b>	
Surcharge of <b>\$130.00</b> for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492 (e)).				<b>\$0.00</b>	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	1 - 20 =	0	x \$22.00	<b>\$0.00</b>	
Independent claims	1 - 3 =	0	x \$82.00	<b>\$0.00</b>	
Multiple Dependent Claims (check if applicable). <input type="checkbox"/>				<b>\$0.00</b>	
<b>TOTAL OF ABOVE CALCULATIONS =</b>				<b>\$1,070.00</b>	
Reduction of 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28) (check if applicable). <input type="checkbox"/>				<b>\$0.00</b>	
<b>SUBTOTAL =</b>				<b>\$1,070.00</b>	
Processing fee of <b>\$130.00</b> for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492 (f)).				<b>\$0.00</b>	
<b>TOTAL NATIONAL FEE =</b>				<b>\$1,070.00</b>	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable). <input type="checkbox"/>				<b>\$0.00</b>	
<b>TOTAL FEES ENCLOSED =</b>				<b>\$1,070.00</b>	
				Amount to be: refunded	\$
				charged	\$

<input checked="" type="checkbox"/> A check in the amount of <b>\$1,070.00</b>	to cover the above fees is enclosed.
<input type="checkbox"/> Please charge my Deposit Account No. _____ A duplicate copy of this sheet is enclosed.	in the amount of _____ to cover the above fees.
<input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment to Deposit Account No. <b>16-2460</b>	A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:  <div style="border: 1px solid black; padding: 5px;"> <b>Viviana Amzel. Ph.D.</b>  <b>Pretty, Schroeder &amp; Poplawski</b>  <b>444 South Flower Street, 19th Floor</b>  <b>Los Angeles, California 90071</b>  <b>Tel: 213-622-7700</b>  <b>Fax: 213-489-4210</b> </div>	<div style="text-align: center;">             SIGNATURE         </div> <div style="margin-top: 10px;"> <b>Viviana Amzel</b>            NAME         </div> <div style="margin-top: 10px;"> <b>30,930</b>            REGISTRATION NUMBER         </div> <div style="margin-top: 10px;"> <b>October 19, 1998</b>            DATE         </div>
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**CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)**

Applicant(s): Patricia Preikschat et al.

Docket No.

P66 41713

Serial No.  
To Be AssignedFiling Date  
October 19, 1998

Examiner

Group Art Unit

Invention: Chromium(VI)-Free Conversion Layer and Method for Producing It

I hereby certify that this US Patent Application

(Identify type of correspondence)

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under  
• 37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on  
October 19, 1998

(Date)

Joanne H. Housen

(Typed or Printed Name of Person Mailing Correspondence)



(Signature of Person Mailing Correspondence)

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October 2, 1998